Substitute for PTO/SB/21 (09-04)"Transmittal Form"
Approved for use through 07/31/2006. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Total Number of Pages in This Submission Attorney Docket Number F. Young		RANSMITTA]	Ĺ		Filing Date	0	ctober 4, 20	004	
Total Number of Pages in This Submission Attorney Docket Number F. Young	APR 2 4 20	% y FORM			First Named Inven	tor Ci	raig W. Lin	ndsley	
Total Number of Pages in This Submission Attorney Docket Number P. Young 21072YP		<i>E</i> /			Art Unit	16	1614		
Fee Transmittal Form	THAN BE	ted for all correspondence after	initial filing) ————	<u>'</u>	Examiner Name	F.	Young		
Fee Transmittal Form	Total Num	ber of Pages in This Submission	1	-	Attorney Docket N	umber 21	.072YP		
Fee Attached			ENCL	OSURES	(Check all the	at apply)			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Name Matthew A. Leff Signature Date 4/19/2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 4/19/06 Typed or printed Stephanie Ricardo Stephanie Ricardo	☐ Fee Attached ☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Certified Copy of Priority ☐ Compare to Missing Parts/ ☐ Incomplete Application ☐ Licensing-relate ☐ Petition ☐ Provisional Application ☐ Change of Corr ☐ Terminal Disclaration ☐ CD, Number of Remarks ☐ CD, Number of Remarks ☐ Supplemental Info			ensing-related tion to Convisional Apprer of Attorninge of Correlational Disclauest for Ref	vert to a plication ley, Revocation espondence Add imer und CD(s)		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):		
Registration No. (Attorney/Agent) CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 4/19/06 Typed or printed Stephanie Ricardo Signature Date 4/19/2006	unde	er 37 CFR 1.52 or 1.53							
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 4/19/06 Typed or printed Stephanie Ricardo Stephanie Ricardo		SIGNATI	JRE OF A	APPLICAN	T, ATTORNE	Y, OR AC	GENT		
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 4/19/06 Typed or printed Stephanie Ricardo Signature of the Control of the	Name	Matthew A. Leff			Registration No.	. (Attorney)	(Agent)	50,149	
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 4/19/06 Typed or printed Stephanie Ricardo		1000	4						
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name Stephanie Ricardo	Postal Servi	ce with sufficient postage as	first class	mail in an	envelope address				
Signature Stophnil Picouch Date 4/19/06		Stephanie Ricardo							
		Stockmi	Die	aux	<u> </u>	Date 4/	19/06		

E UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Craig W. Lindsley, e	et al.
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Serial No. 10/510,066 Filed: October 4, 2004

For: INHIBITORS OF AKT ACTIVITY

Art Unit:	<u>1614</u>	

Examiner: F. Young

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

- Sir:
- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- 3. Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- 4. Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.

By Stephaniel Rivards Date 4/19/01

INFORMATION DISCLOSURE STATEMENT

5. Pursuant to 37 C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120 are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

		RELATED A	PPLICATION
U. S. SERIAL NUMBER		FILING DATE	MERCK CASE
If this is inconvenient, additional copies will be submitted	ed upon request.		
6. In accordance with 37 C.F.R. 1.97, (check one)			
the attached information is filed within three months	s of the filing date	e of the captioned case	
the attached information is filed more than three management.	onths after the fil	ing date but prior to the	mailing of a first
the attached information is filed before the mailing examination under §1.114.	of a first Office a	ction after the filing of a	request for continued
the attached information is being filed more than the Office Action on the merits, but before the mailing of otherwise closes prosecution in the application. The Account No. 13-2755 for the fee required under 37	date of a Final Ad he enclosed auth	ction, Notice of Allowan	ce, or an action that
each item of information contained in this Informati from a foreign patent office in a counterpart foreign Statement.			
each item of information contained in the information from a foreign patent office in a counterpart applicated designated in §1.56(c) more than thirty days prior to	ation <i>and this coi</i>	mmunication was not re	ceived by any individu
no item of information contained in this Information foreign patent office in a counterpart foreign application after making reasonable inquiry, was known to any months prior to the filing of this Statement.	ation, and, to the	knowledge of the person	on signing the certifica
	F	Respectfull submitted,	
	=	110h 611	
		By: Matthew A. Left	
	_		oplicant(s)
		Reg. No. <u>50,149</u> MERCK & CO., INC.	
		Patent Dept., RY60-30	
		P.O. Box 2000	
		Rahway, N.J. 07065-09	007
	(732\594-1404	

Date: April 19, 2006

				Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE
	Substitute for form 1449A/PTO			COMPLETE IF KNOWN
	INFORMATION DI	SCLOSURE	Application Number	10/510,066
,	O STATEMENT BY A	DDI ICANT	Filing Date	October 4, 2004
/	,	FFLICANI	First Named Inventor	Craig W. Lindsley
	APR 3 4 JOUG E		Group Art Unit	1614
\;	(use symany sneets as	necessary)	Examiner Name	F. Young
	Sheet 1 of	2	Attorney Docket Number	21072YP
				'

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent Docur Number	U.S. Patent Document Name of Patentee or Applicant Date of Pub					
	-				<u> </u>			

Α	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document Office Number Kind Code (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY			
		PCT	WO 03/084473		Merck & Co., Inc.	10/16/2003		
		PCT	WO 99/07701		Sugen, Inc.	02/18/1999		
		PCT	WO 02/094796		Axxima Pharmaceuticals	11/28/2002		

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Signature

Date Considered

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Su	bstitute for form 1449B/PTO			COMPLETE IF KNOWN			
	NFORMATION 1	DIS	CLOSURE	Application Number	10/510,066		
STATEMENT BY APPLICANT				Filing Date	October 4, 2004		
3	IAIEWENIDI	AP	PLICANI	First Named Inventor	Craig W. Lindsley		
			,	Group Art Unit	1614		
(use as many sheets as necessary)			ecessary)	Examiner Name	F. Young		
Sheet	2	of	. 2	Attorney Docket Number	21072YP		

NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	ner Cite Include name of the author, title, date, page(s), volume-issue number(s) and place of publication. * No.								
		6							

Examiner Signature	Date Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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